

# DEVRY SMITH FRANK *LLP*

## Lawyers & Mediators



## DAN ROTHBERG

### B.COMM. (HONS.), LL.B.

Featured Area of Practice: Capital Markets and Securities

Areas of Practice: Commercial Lending, Corporate Services and Acquisitions

Capital Markets and Securities lawyer Dan Rothberg received his Bachelor of Commerce from the University of Manitoba in 1989 and his LL.B. from the University of British Columbia in 1993. Dan also completed courses in International Finance and Econometrics at Harvard University.

Dan Rothberg's Capital Markets and Securities experience includes a broad range of public and private financings including equity, debt and fund offerings. We have acted for a wide variety of public and private issuers, underwriters, venture capital and private equity funds and other market participants including foreign based hedge funds.

Specific types of work have included:

- CPC formation
- Venture and private equity fund formation
- Initial public offerings
- Reverse take-overs
- CPC qualifying transactions and
- Private placements.

Direct: 416-446-5832  
Fax: 416-446-5832  
[dan.rothberg@devrylaw.ca](mailto:dan.rothberg@devrylaw.ca)

YEAR OF CALL: 1994

EDUCATION: University of Manitoba, University of British Columbia, Harvard University

ASSOCIATIONS: Law Society of Ontario, the Law Society of British Columbia, the Canadian Bar Association, the Canadian Venture Capital Association, and serves on the board of the Association for Corporate Growth



95 Barber Greene Road, Suite 100  
Toronto, ON M3C 3E9  
Tel: 416-449-1400  
Fax: 416-449-7071

85 Bayfield Street, Suite 300  
Barrie, ON L4M 3A7  
Tel: 705-812-2100  
Fax: 705-730-6871

209 Dundas Street East, Suite 401  
Whitby, ON L1N 7H8  
Tel: 289-638-3171  
Fax: 416-449-7071

[www.devrylaw.ca](http://www.devrylaw.ca)

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